Item 1: Cover Page for Part 2B of Form ADV: Brochure Supplement February 2016

Tyler Coughlin Simones



Northwest Quadrant, LLC 63088 NE 18th Street, Suite 190 Bend, OR 97701 (541) 388-9888

Firm Contact: Tyler Simones Chief Compliance Officer

Firm Website Address: <u>www.NorthwestQuadrantWealth.com</u> <u>www.FinancialFocusRadio.com</u>

This brochure supplement provides information about Tyler Simones that supplements our brochure. You should have received a copy of that brochure. Please contact Tyler Simones, Managing Member and Chief Compliance Officer, if you did not receive our firm's brochure or if you have any questions about the contents of this supplement.

Additional information about Tyler Simones is available on the SEC's website at <u>www.adviserinfo.sec.gov</u>.

Item 2: Educational Background & Business Experience

Tyler Coughlin Simones

Year of Birth: 1976

Formal Education:

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• 1999: University of Maine at Farmington, Bachelor of Arts in Business Economics

Business Background:

- 08/2011 Present Northwest Quadrant, LLC; Managing Member, Chief Compliance Officer & Investment Advisor
 - 08/2011 Present LPL Financial LLC; Registered Representative
- 05/2008 08/2011 KMS Financial Services, Inc; Registered Representative
- 09/2006 05/2008 Lincoln Financial Distributors, Inc; Registered Representative
 - 10/2004 09/2006 Security Distributors, Inc; Registered Representative
- 05/2004 10/2004 Westminster Financial Securities, Inc; Registered Representative
 - 10/1999 05/2004 Pioneer Investment Management, Inc; Registered Representative

Item 3: Disciplinary Information

There are no legal or disciplinary events material to the evaluation of Tyler Simones.

Item 4: Other Business Activities

If Tyler Simones is actively engaged in any investment-related business or occupation, including if Tyler Simones is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA, we are required to disclose this fact and describe the business relationship, if any, between the advisory business and the other business.

Mr. Simones is a registered representative of LPL Financial LLC, member FINRA/SIPC. He may offer securities and receive normal and customary commissions as a result of securities transactions. This presents a conflict of interest to the extent that he recommends that a client invest in a security which results in a commission being paid to him. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation our firm and/or Mr. Simones may earn and may not necessarily be in the best interests of the client.

Mr. Simones is a licensed insurance agent through various insurance companies. In such capacity, he may offer insurance products and receive normal and customary commissions as a result of such a purchase. This presents a conflict of interest to the extent that he recommends the purchase of an insurance product which results in a commission being paid to him as an insurance agent. Mr. Simones spends approximately 10% of his time on these activities.

If Tyler Simones is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source

of Tyler Simones' income or involve a substantial amount of Tyler Simones' time, we are required to disclose this fact and must describe the nature of that business. If the other business activities represent less than 10 percent of Tyler Simones' time and income, we may presume that they are not substantial.

Mr. Simones is the owner of Simones Investment Holdings, LLC. This business is a tax structure for passive investments. This activity consumes 2 hours of Mr. Simones' time per month. Clients are not solicited engage Simones Investment Holdings, LLC for investment services.

Item 5: Additional Compensation

If someone who is not a client provides an economic benefit to Tyler Simones for providing advisory services, we are required to generally describe the arrangement.

We have nothing to disclose in this regard.

Item 6: Supervision

Tyler Simones is a Managing Member and Chief Compliance Officer and as such has no internal supervision placed over him. He is however bound by our firm's Code of Ethics. If you have any questions regarding this Brochure Supplement please contact Tyler Simones at (541) 388-9888.