

**Item 1: Cover Page  
Part 2B of Form ADV: Brochure Supplement  
April 2019**

**Tyler Coughlin Simones**



**NORTHWEST QUADRANT**  
WEALTH MANAGEMENT

**Northwest Quadrant, LLC  
63088 NE 18<sup>th</sup> Street, Suite 190  
Bend, OR 97701  
(541) 388-9888**

**Firm Contact:  
Tyler Simones  
Chief Compliance Officer**

**Firm Website Address:  
[www.NorthwestQuadrantWealth.com](http://www.NorthwestQuadrantWealth.com)  
[www.FinancialFocusRadio.com](http://www.FinancialFocusRadio.com)**

This brochure supplement provides information about Tyler Simones that supplements our brochure. You should have received a copy of that brochure. Please contact Tyler Simones if you did not receive Northwest Quadrant, LLC *doing business as* Northwest Quadrant Wealth Management's brochure or if you have any questions about the contents of this supplement. Additional information about Tyler Simones is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD # 4088925.

## Item 2: Educational Background & Business Experience

### **Tyler Coughlin Simones**

Year of Birth: 1976

#### **Formal Education:**

- 1999: University of Maine at Farmington, Bachelor of Arts in Business Economics

#### **Business Background:**

- 08/2011 – Present Northwest Quadrant, LLC; Managing Member, Chief Compliance Officer & Investment Advisor
- 08/2011 – Present LPL Financial LLC; Registered Representative
- 05/2008 – 08/2011 KMS Financial Services, Inc; Registered Representative
- 09/2006 – 05/2008 Lincoln Financial Distributors, Inc; Registered Representative
- 10/2004 – 09/2006 Security Distributors, Inc; Registered Representative
- 05/2004 – 10/2004 Westminster Financial Securities, Inc; Registered Representative
- 10/1999 – 05/2004 Pioneer Investment Management, Inc; Registered Representative

#### **Exams, Licenses & Other Professional Designations:**

- 1999: Series 6 exam
- 2002: Series 7 exam
- 2007: Series 63 exam
- 2008: Series 66 exam
- 2011: Series 24 exam
- 2008: OR Life, Health, and Variable Insurance Licenses

## Item 3: Disciplinary Information

There are no legal or disciplinary events material to the evaluation of Mr. Simones.

## Item 4: Other Business Activities

Mr. Simones is a registered representative of LPL Financial LLC, member FINRA/SIPC, and licensed insurance agent/broker. In such capacity, he may offer securities and receive commissions as a result of such transactions. A conflict of interest may arise as these commissionable sales may create an incentive to recommend products based on the compensation our firm and/or Mr. Simones may earn and may not necessarily be in the best interests of the client.

Mr. Simones is the owner of Simones Investment Holdings, LLC. This business is a tax structure for passive investments. This activity consumes 2 hours of Mr. Simones' time per month. Clients are not solicited engage Simones Investment Holdings, LLC for investment services.

## **Item 5: Additional Compensation**

Mr. Simones does not receive any other economic benefit for providing advisory services in addition to advisory fees.

## **Item 6: Supervision**

Tyler Simones is a Managing Member and Chief Compliance Officer and as such has no internal supervision placed over him. He is however bound by our firm's Code of Ethics. If you have any questions regarding this Brochure Supplement please contact Tyler Simones at (541) 388-9888.